1-11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Applications of

PERRELLA, Frank W.

Group Art Unit: 1711

Serial No.

10/718,840

Examiner:

Filed:

11/21/2003

Atty. Dkt. No: SHINE001-US

For:

CROSSING POLYMER COMPOSITION

To:

Mail Stop IDS

Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

Fm:

24222

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Date:

3123104

🔀 Dawn M. Case

Commissioner:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Transmitted herewith is the Applicant's Information Disclosure Statement, <u>1</u> sheets form PTO/SB/08A and/or PTO/SB/08B, and <u>3</u> references and other information. Pursuant to 37 CFR 1.98 (a)(2)(i) applicant has not transmitted herewith copies of cited U.S. Patents and U.S. patent application publications as the above application was filed after June 30, 2003. Please enter in the above application and communicate in all related matters with the undersigned.

All necessary fees are intended to be included, however the Office is hereby authorized to charge any deficiency or credit any overpayment in the fees to deposit account #500323.

Respectfully submitted,

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Attorneys/Agents for Applicant

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Date: 3/23/04

Dawn M. Case

Commissioner:

To:

<u>INFORMATION DISCLOSURE STATEMENT</u>

Applicants submit this statement and the attached <u>1</u> sheet(s) of form PTO/SB/08A and/or PTO/SB/08B and <u>3</u> references and other information in accordance to the duty of disclosure under 37 C.F.R. §§1.56,1.97, and 1.98, and requests consideration hereof. Pursuant to 37 CFR 1.98 (a)(2)(i) applicant has not transmitted herewith copies of cited U.S. Patents and U.S. patent application publications as the above application was filed after June 30, 2003.

<u>Compliance with 37 C.F.R. §1.97:</u> This Information Disclosure Statement is filed within three (3) months of the filing date of a National Application or before the mailing date of a first office action on the merits. No fee or certification is required.

<u>Information Cited:</u> The Applicants hereby make of record in the above-identified application, the information listed on the attached form PTO/SB/08A and/or PTO/SB/08B. The order of presentation of the references should not be construed as an indication of the importance of the reference. As all the references listed on attached Form PTO/SB/08A and/or PTO/SB/08B are in English, no commentary is required.

<u>Remarks:</u> A copy of each reference, together with a listing on Form PTO/SB/08A and/or PTO/SB/08B, is submitted herewith. Applicants respectfully request that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;

- 2. The enclosed form PTO/SB/08A and/or PTO/SB/08B be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application; and
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicants make no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined in 37 C.F.R. §102.

Notwithstanding any statement by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

Vernon C. Maine, Reg. No. 37,389

Scott J. Asmus, Reg. No. 42,269

Neil F. Maloney, Reg. No. 42,833 Andrew P. Cernota, Reg. No. 52,711

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Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number 10/718,840

Filing Date 11-21-2003

First Named Inventor PERRELLA, Frank W.

Art Unit 1711

Examiner Name

Attorney Docket Number SHINE001-US

			U. S. PATEN	T DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 3,597,146	08-03-1971	Tesoro	
		^{US-} 3,726,862	04-10-1973	Coker et al.	
•		US- 4,278,578	07-14-1981	Carpenter	
		^{US-} 4,310,593	01-12-1982	Gross	
		^{US-} 4,605,698	08-12-1986	Briden	
		^{US-} 5,589,324	12-31-1996	Wexler	
		^{US-} 5,997,969	12-07-1999	Gardon	
		^{US-} 6,537,934 B1	03-25-2003	Yeo	
		^{US-} 6,624,274 B1	09-23-2003	Suddaby	
		^{US-} 6,569,375 B1	05-27-2003	McGlothlin et al.	
		^{US-} 2003/0017286 A1	01-23-2003	Williams et al.	
		^{US-} 2003/0109642 A1	06-12-2003	Gamlin et al.	
		^{US-} RE35,616	09-30-1997	Tillotson et al.	
		US-			

Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
	Country Code ^{3 -} Number ^{4 -} Kind Code ⁵ (if known)				
	WO 03/080722 A1	10-02-2003	Ota et al.	300	
	WO 00/21451	04-20-2000	Teoh et al.		
	GB 1,423,558	02-04-1976	Sakurada et al.		
					L
	No.'	Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>) WO 03/080722 A1 WO 00/21451	Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>) WO 03/080722 A1 10-02-2003 WO 00/21451 04-20-2000	Country Code ³ Number ⁴ Kind Code ⁵ (if known) MM-DD-YYYY WO 03/080722 A1 10-02-2003 Ota et al. WO 00/21451 04-20-2000 Teoh et al.	Country Code ³ Number ⁴ Kind Code ⁵ (if known) MM-DD-YYYY Or Relevant Figures Appear WO 03/080722 A1 10-02-2003 Ota et al. WO 00/21451 04-20-2000 Teoh et al.

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.